



JFW

PTO/SB/21 (09-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/816,162
Filing Date	March 31, 2004
First Named Inventor	Osamu Kawamae
Art Unit	2656
Examiner Name	Hoa T. Nguyen
Attorney Docket Number	16869S-112700US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement

- ☐ Certified Copy of Priority Document(s)
☐ Reply to Missing Parts/ Incomplete Application
☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____
☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):
USPTO form SB08A, copy of office action for CN200400342965, Return Postcard

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP
Signature	
Printed name	Robert C. Colwell
Date	3/10/07

Reg. No.	27,431
----------	--------

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	
Typed or printed name	Roger Hyton

Date	3/14/06
------	---------



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
Attorney Docket No.: 16869S-112700US
Client Reference No.: W1463-01EK

On MARCH 14, 2006

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]
Roger Hyatt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Osamu KAWAMAE, et al.

Application No.: 10/816,162

Filed: March 31, 2004

For: A RECORDING MEDIUM,
RECORDING METHOD AND
RECORDING APPARATUS

Examiner: Hoa T. Nguyen

Art Unit: 2656

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

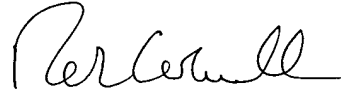
The reference cited on attached form PTO/SB/08A is being called to the attention of the Examiner. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of an office action dated November 14, 2005 issued by the China Intellectual Property Office (SIPO) for the corresponding SIPO patent application CN2004100342965.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Robert C. Colwell
Reg. No. 27,431

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
RCC:rmh
60714712 v1



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/816,162
				Filing Date	March 31, 2004
				First Named Inventor	Osamu Kawamae
				Art Unit	2656
				Examiner Name	Hoa T. Nguyen
Sheet	1	of	1	Attorney Docket Number	16869S-112700US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,243,338	06-05-2001	Mine	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Bibliographic Data

Application Number:	10/816,162	Customer Number:	20350
Filing or 371 (c) Date:	03-31-2004	Status:	Docketed New Case - Ready for Examination
Application Type:	Utility	Status Date:	07-07-2005
Examiner Name:	<u>NGUYEN, HOA T</u>	Location:	ELECTRONIC
Group Art Unit:	2656	Location Date:	-
Confirmation Number:	9034	Earliest Publication No:	US 2005-0007912 A1
Attorney Docket Number:	16869S-112700US	Earliest Publication Date:	01-13-2005
Class / Subclass:	369/100	Patent Number:	-
First Named Inventor:	Osamu Kawamae , Tokyo, (JP)	Issue Date of Patent:	-

Title of Invention:	Recording medium, recording method and recording apparatus
---------------------	--

Close Window